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Date of Deposit: July 26, 2001

Attorney Docket No. 18133-075

JUL 26 2001

THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:
ASSIGNEE:

Hammond, *et al*

American Power Conversion

SERIAL NUMBER: 09/812,657

EXAMINER: NOT YET ASSIGNED

FILING DATE: March 20, 2001

ART UNIT: 2858

FOR: POWER SUPPLY CRITICAL STATE MONITORING SYSTEM

July 26, 2001

Boston, Massachusetts

Commissioner for Patents and Trademarks
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the document listed below and on the attached modified Form PTO-1449 (submitted in duplicate) in the above-identified application, copies of which are submitted herewith.

Other Prior Art – Non Patent Literature Documents:

AMERICAN POWER CONVERSION, POWER NET, 8 pgs. (1997).

This Information Disclosure Statement is being filed:

- ☐ within three months of the filing date of the National Application;
- ☐ within three months of the filing date of the entry of the National Stage, as set forth in 37 C.F.R. §1.491, in an International Application; or
- ☒ before the mailing date of a first Office Action on the merits in the above-identified case.

Accordingly, no fee or certification is required. 37 C.F.R. §1.97

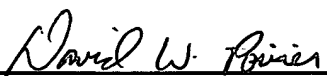
A copy of each of the above-identified information is enclosed unless otherwise indicated on the attached Form PTO-1449 (modified). It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

APPLICANTS: .Hammond, et al
U.S.S.N.: 09/812,657

By submitting this Information Disclosure Statement, the Applicant makes no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested. Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 18133-075.

Respectfully submitted,


A. Jason Mirabito, Reg. No. 28,161
David W. Poirier, Reg. No. 43,007
Attorneys for Applicant
MINTZ, LEVIN et al.
One Financial Center
Boston, Massachusetts 02111
Tel: (617) 542-6000
Fax: (617) 542-2241

Dated: July 26, 2001

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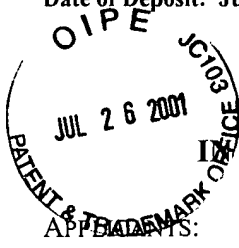
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Hammond, *et al*
ASSIGNEE: American Power Conversion
SERIAL NUMBER: 09/812,657 EXAMINER: Not Yet Assigned
FILING DATE: March 20, 2001 ART UNIT: 2858
FOR: POWER SUPPLY CRITICAL STATE MONITORING SYSTEM

CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10

The undersigned hereby certifies that this document (along with any paper or fee referred to as being enclosed) is being placed in the United States mail Express Mail # EF371230963US, on the date indicated below addressed to the Commissioner for Patents and Trademarks, Washington, D.C. 20231.

David W. Poirier
David W. Poirier
Date: July 26, 2001

Commissioner for Patents and Trademarks
Washington, D.C. 20231

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TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

- ☒ Information Disclosure Statement (2 pgs.);
- ☒ Information Disclosure Statement by Applicant (1 pg.);
- ☒ Return Postcard.

The Commissioner is authorized to credit any overpayment or charge any deficiencies to Deposit Account No. 50-0311 (Reference No. 18133-075). A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,

David W. Poirier
A. Jason Mirabito, Reg. No. 28,161
David W. Poirier, Reg. No. 43,007
c/o MINTZ, LEVIN
One Financial Center
Boston, Massachusetts 02111
Tel: (617) 542-6000
Fax: (617) 542-2241